

02/26/02

10082328

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	Attorney Docket No.	10-432 US
	First Inventor	Copner et al.
	Title	Athermal Interferometer
	Express Mail Label No.	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>APPLICATION ELEMENTS</b>	Assistant Commissioner for Patents <b>ADDRESS TO:</b> Box Patent Application Washington, DC 20231
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See MPEP chapter 600 concerning utility patent application contents.

<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input ]<br="" type="text" value="20"/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input ]<="" p="" type="text" value="8"/><p>5. Oath or Declaration [Total Pages <input ]<="" p="" type="text" value="3"/><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p><p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></p></p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>c. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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<b>ACCOMPANYING APPLICATION PARTS</b>
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations ( )</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>

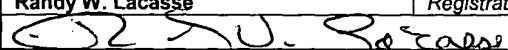
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: 60/271,428

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label  or <input type="checkbox"/> Correspondence address below				
Name <u>26381</u>				
Address <u>PATENT TRADEMARK OFFICE</u>				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print/Type)	Randy W. Lacasse	Registration No. (Attorney/Agent)	34,368
Signature		Date	2/26/02

J1050 U.S. PTO  
10/082328  
02/26/02

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$ 758

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Copner et al.  
Examiner Name Unknown  
Group Art Unit Unknown  
Attorney Docket No. 10-432 US

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50-1465
- Deposit Account Name JDS UNIPHASE
- ☒ Charge Any Additional Fees Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	740
106	330	206 165	Design filing fee	
107	510	207 255	Plant filing fee	
108	740	208 370	Reissue filing fee	
114	160	214 80	Provisional filing fee	
SUBTOTAL (1)				\$ 740

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-20** = 1	18	18
Independent Claims 3	-3** = 0		0
Multiple Dependent			0

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	84	209 42	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

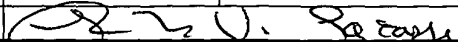
SUBTOTAL (2) \$ 18

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205 65		Surcharge - late filing fee or oath	
127	50	227 25		Surcharge - late provisional filing fee or cover sheet	
139	130	139 130		Non-English specification	
147	2,520	147 2,520		For filing a request for ex parte reexamination	
112	920*	112 920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115	110	215 55		Extension for reply within first month	
116	400	216 200		Extension for reply within second month	
117	920	217 460		Extension for reply within third month	
118	1,440	218 720		Extension for reply within fourth month	
119	320	219 160		Notice of Appeal	
120	320	220 160		Filing a brief in support of an appeal	
138	1,510	138 1,510		Petition to institute a public use proceeding	
140	110	240 55		Petition to revive - unavoidable	
141	1,280	241 640		Petition to revive - unintentional	
142	1,280	242 640		Utility issue fee (or reissue)	
143	460	243 230		Design issue fee	
144	620	244 310		Plant issue fee	
122	130	122 130		Petitions to the Commissioner	
123	50	123 50		Processing fee under 37 CFR 1.17(q)	
126	180	126 180		Submission of Information Disclosure Stmt	
581	40	581 40		Recording each patent assignment per property (times number of properties)	
146	740	246 370		Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249 370		For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279 370		Request for Continued Examination (RCE)	
169	900	169 900		Request for expedited examination of a design application	
Other fee (specify):					
SUBTOTAL (3)					\$ 0

Name (Print/Type)	Randy W. Lacasse	Registration No. (Attorney/Agent)	34,368	Telephone	703-415-1015
Signature				Date	2/26/02